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2 **Article title**

3 *SoC Estimation on Li-ion Batteries: A New EIS-based Dataset for data-driven applications*

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12 **Keywords**

13 *LFP batteries, State of Charge, Electrochemical Impedance Spectroscopy, Dataset.*

14 **Abstract**

15 Lithium-ion (Li-ion) batteries are crucial in numerous applications, including portable electronics,
16 electric vehicles, and energy storage systems. Electrochemical Impedance Spectroscopy (EIS) is a
17 powerful technique for characterizing batteries, providing valuable insights into charge transfer
18 kinetics like ion diffusion and interfacial reactions. However, obtaining comprehensive and diverse
19 datasets for battery State of Charge (SoC) studies remains challenging due to the complex nature of
20 battery operations and the time-intensive testing process. This paper presents a novel and original
21 EIS dataset specifically designed for 600 mAh capacity Lithium Iron Phosphate (LFP) batteries at
22 various SoC levels. The dataset includes repeated EIS measurements using different battery
23 discharging cycles, allowing researchers to examine the frequency domain properties and develop
24 data-driven algorithms for assessing battery SoC and predicting performance. The data acquisition
25 system employs a battery specific impedance meter and an electronic load, ensuring accurate and
26 controlled measurements. The dataset, comprising EIS measurements from multiple LFP batteries,
27 serves as a valuable resource for researchers in the fields of battery technology, electrochemistry,
28 power sources, and energy storage. Moreover, industries such as consumer electronics, power
29 systems, and electric transportation can benefit from the dataset's insights for effectively managing
30 rechargeable battery devices. The presented dataset expands the scope of impedance spectroscopy
31 measurements and holds significant potential for future applications and advancements in Li-ion
32 battery technologies.

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36 **SPECIFICATIONS TABLE**

Subject	<i>Energy Engineering and Power Technology</i>
Specific subject area	Rechargeable Lithium batteries' Electrochemical Impedance Spectroscopy (EIS), measured at various Stages of Charge.
Type of data	Table
Data collection	The impedance data for the battery, both real and imaginary parts, was measured at frequencies of 0.01, 0.02, 0.03, 0.05, 0.08, 0.1, 0.2, 0.3, 0.5, 0.8, 1, 2, 3, 5, 8, 10, 11, 21, 31, 61, 81, 100, 110, 210, 310, 510, 810, and 1000 Hz. The EIS spectrum was taken for the State of Charge (SoC) levels of 100%, 95%, 90%, 85%, 80%, 75%, 70%, 65%, 60%, 55%, 50%, 45%, 35%, 30%, 25%, 20%, 15%, 10%, and 5%. The measurement was conducted two times on individual discharges of each of the eleven 3.2 V, 600 mAh Lithium Iron Phosphate batteries.
Data source location	Institution: University of Cassino and Southern Lazio, Department of Electrical and Information Engineering City: Cassino Country: Italy Latitude and Longitude: 41.4719°N, 13.8289°E
Data accessibility	Repository name: SoC Estimation on Li-ion Batteries: A New EIS-based Dataset for data-driven applications Data identification number: <i>10.17632/cb887gkmxw.1</i> Direct URL to data: https://data.mendeley.com/preview/cb887gkmxw?a=c1fdf757-a947-4e82-beba-dd046c71f744

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38 **VALUE OF THE DATA**

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- The obtained data are original and have never before been published in a publication or data repository. The dataset consists primarily of EIS measurement of LFP batteries during the discharge process at various SoC levels. For the proposed dataset, eleven batteries are used; to increase the variability between measurements, the authors try to take the batteries from different batches by buying the cells from different suppliers at different times. The chosen batteries are the 600 mAh LFP made by O'Cell New Energy Technology CO. LTD.
 - For each battery, there are two full discharge cycles. The main contribution to the community of the proposed dataset is mainly in the number of used stimulus frequencies (58) and the number of SoC levels (20). To the best of the authors' knowledge, there is no dataset with these two



48 characteristics combined. A high number of stimulus frequencies allows us to study the
49 frequency domain properties of batteries and their variation through the discharge process. In
50 addition, they may be used to create prediction approaches and train machine learning models
51 to assess methods for the reliable and effective management of rechargeable battery devices.

- 52 • Researchers in the fields of electrochemical studies and energy storage systems can derive
53 valuable insights from these data, gaining a deeper understanding of cell behavior at different
54 SoC levels. Moreover, they may be beneficial for research and development engineers working
55 on consumer electronics such as IoT devices.

56

57 BACKGROUND

58 Currently, batteries represent a highly efficient energy storage means regarding the energy-to-
59 volume ratio and electrical power output. Among the various battery technologies available, Li-ion
60 batteries exhibit exceptional performance in terms of aging, cycle life, and rapid charging capability
61 [1]. Specifically, Lithium Iron Phosphate (LFP) batteries offer unique advantages due to their robust
62 thermal and chemical stability, which provide safety benefits and a longer cycle life compared to
63 other Li-ion chemistries. It has drawn a lot of attention, research, and applications because of its
64 exceptional safety, low cost, low toxicity, and decreased reliance on nickel and cobalt.[2]. LFP
65 batteries are increasingly employed in diverse applications such as portable electronic devices,
66 electric vehicles [3], especially in heavier vehicles due to their safety and life cycle advantages, and
67 stationary energy storage systems where long life and safety are crucial [4].

68 The characterization of the electrochemical phenomena that occur inside the batteries remains one
69 of the greatest challenges. Despite these advancements, comprehending the electrochemical
70 phenomena occurring within batteries remains a significant challenge. EIS emerges as a potent tool
71 for assessing the performance and degradation processes of Li-ion batteries [5]. EIS measurements
72 on Li-ion batteries apply an alternate signal over various frequencies. The measurements of current
73 and voltage are used to evaluate impedances that could be related to the electrochemical processes
74 occurring within the battery, including charge transfer kinetics, ion diffusion, and interfacial reactions
75 [6]. Those relationships are difficult to address, and many contributions in the literature propose
76 various approaches for the EIS data analysis [7], [8].

77 Impedance spectroscopy methods could be used inside the Battery Management Systems (BMS) to
78 improve the performance in State of Charge (SoC) and State of Health (SoH) estimation. In [9] the
79 authors have demonstrated the possibility of using a classifier to estimate the SoC from the EIS
80 measurements. Furthermore, the impedance spectrum could be used to fit the equivalent electrical
81 circuit models. Those are interesting because the three parts of the spectrum (low-frequency,
82 medium-frequency, and high-frequency) could be used to identify different phenomena occurring in
83 the batteries [10], [11]. In this case, having a higher number of frequencies helps the fitting process.

84 Existing Li-ion Batteries Datasets

85 There are some Li-ion battery EIS measurement datasets available publicly. We will discuss these
86 existing datasets briefly.



87 One of the largest EIS datasets available is published by Zhang et al. [12]. It includes more than
88 20000 impedance spectra values obtained from 12 Eunicell LR2032 45 mAh LCO/graphite batteries.
89 The cells were cycled at different temperatures after obtaining many frequencies of EIS
90 measurements at various SoC levels, namely 45 °C, 35 °C, and 25 °C. This dataset aims to give data
91 for the batteries' SoH analysis rather than the SoC analysis. For this reason, there are only three
92 impedance spectroscopy during the discharge. The data are provided in ".txt" format and include the
93 EIS values and independent capacity measurements. Another dataset by Buchicchio et al. [13]
94 includes EIS measurements from widely used Li-ion batteries. Using a random-phase multi-sine
95 excitation signal, the batteries' complex impedance was measured at a range of 14 distinct
96 frequencies ranging from 0.05 Hz to 1000 Hz for six batteries. The temperature is kept constant at 25
97 °C. In this case, there are 10 impedance measurements at as many SoC levels, making this dataset
98 suitable for developing data-driven methods for the SoC evaluation. Despite the novelty of the
99 stimulus signal and the SoC granularity, there are few stimulus frequencies compared with the other
100 dataset. The data are shared in a single ".csv" format for all the batteries. In [14], the authors share
101 an interesting dataset focused on the charging phase. They tested a 1100 mAh LFP cylindrical battery.
102 The charging phase is a four-step fast charging protocol while the discharge is at a fixed current. The
103 authors tested 240 cells divided into 5 batches. In [15], 34 battery cells from 2 Ah are tested at three
104 different temperatures. This dataset provides the EIS with 39 frequencies from 0.1 Hz to 5 kHz but
105 without giving the specific frequency values. This dataset is focused on the SoH. In [16] 2900 mAh
106 Panasonic 18650PF cell was tested at five controlled environment temperatures from 25 °C to – 20
107 °C. Each impedance spectroscopy test is performed from 1 mHz to 6 kHz with a step SoC equal to 5%.

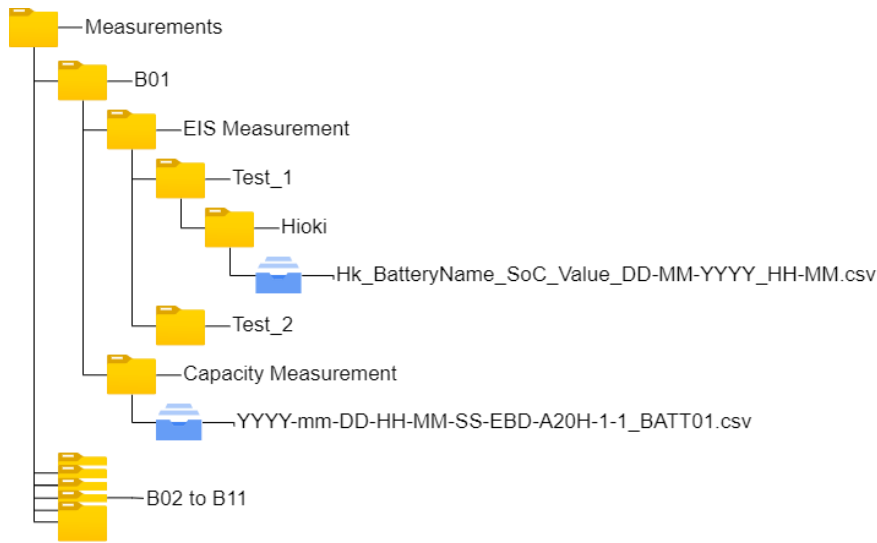
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109 DATA DESCRIPTION

110 The dataset is shared with this manuscript. The EIS measurement and Capacity measurement data
111 are recorded in the ".csv" files. These files are organized in directories as shown in Figure 1, to make
112 them easy to use.

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Figure 1: Structure of the obtained experimental dataset.

117 There are eleven directories one for each battery, from “ B01” to “ B11”. Each of these directories
 118 further contains two directories, “ EIS Measurement” and “Capacity Measurement”. In the first one
 119 there are all the test directories, inside each test folder there are the corresponding test comma-
 120 separated values (csv) files with all the impedance spectroscopy measurements. In the second folder,
 121 on the same level as “ EIS Measurement” there is the battery capacity test also in a csv formatted
 122 file. The following Sections describe in detail the two csv files.

123 **EIS measurements**

124 For each cycle, in the EIS Measurements directory, there is an additional sub-folder named “ Test_N”
 125 (where N is the cycle number).

126 Each Test folder contains the 20 EIS Measurements in the csv files, starting from SoC 5 % to SoC 100
 127 %, with the name starting with the battery model like IFR14500B01. The EIS Measurements files are
 128 organized in 6 columns and 28 rows, the following Table 1. describes the columns of these files.

Output parameters	Column Name	Range	Measuring Unit	Description
Frequency	Frequency	0.01 - 1000	Hz	Frequency used for the Impedance Spectroscopy
Real (\dot{Z})	R	Same of the Range Column	Ω	Real part of the Complex Impedance
Imaginary (\dot{Z})	X	Some of the Range of Column	Ω	Imaginary part of the Complex Impedance
Battery Voltage	V	0 - 20	V	Battery Voltage measured before the Impedance Spectroscopy
Temperature	T	Depends on the climatic chamber	$^{\circ}\text{C}$	Climatic Chamber temperature setting

Range	Range	0.3 - 3	Ω	Range used for the Impedance Evaluation
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129 Table 1: Measurement file header description.

130 **Capacity measurements:**

131 Under the Capacity evaluation folders, there are the capacity evaluation test files. Each test file
 132 reports in the first ten rows the test information like the starting voltage, the voltage, the measured
 133 capacity, and the dissipated energy. After three columns are reporting the Time, current, and battery
 134 Voltage as shown in the Table 2.

Column Name	Measuring Unit	Description
Time	s	Time in seconds from the test start to the test end
Cur	A	Discharge current in Ampere
V	V	Battery terminal voltage in Volt

135 Table 2: Measurement file header description.

136

137 **EXPERIMENTAL DESIGN, MATERIALS AND METHODS**

138 This Section provides a comprehensive overview of the instruments, connections, and measurement
 139 protocols that were specifically developed to obtain the dataset. In contrast to existing datasets
 140 documented in the literature, our approach aimed to enhance two key aspects. Firstly, we sought to
 141 increase the number of SoC levels included in the dataset, consequently expanding the scope of
 142 impedance spectroscopy measurements. Secondly, we aimed to incorporate more frequencies for
 143 spectroscopic analysis. This expansion affords significant flexibility within the dataset, making it well-
 144 suited for training and testing data-driven algorithms for estimating SoC and investigating the most
 145 influential features that correlate SoC with impedance measurements.

146 **Data acquisition system**

147 The adopted measurement setup has the following characteristics:

- 148 • the ability to discharge one battery at a time by controlling the discharge current;
- 149 • the resolution of SoC measurement lower than 0.1 %;
- 150 • the system must also be able to automatically handle the impedance measurement after each
- 151 step SoC levels Δ SoC.

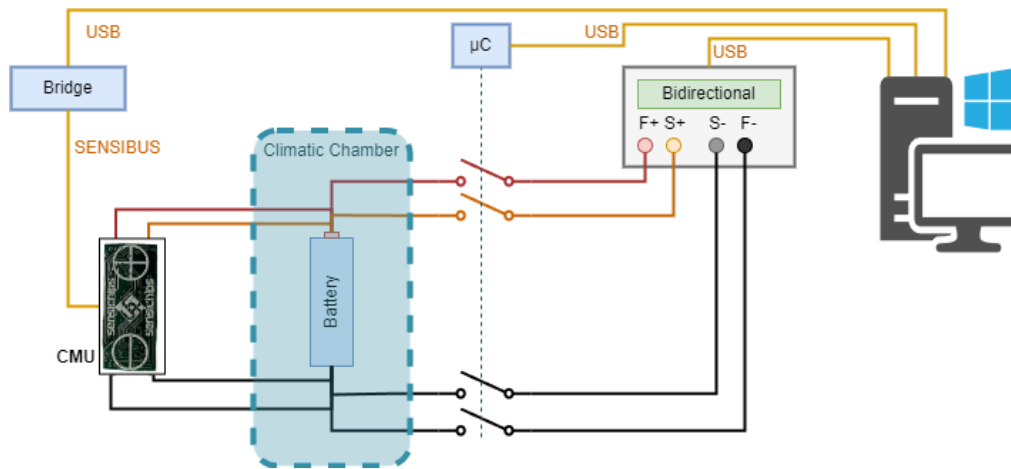


Figure 2: Schematic diagram of the implemented experimental setup.

From the author's experience in designing experimental systems [17], [18], [19], [20] the schematic diagram of the implemented experimental setup shown in Figure 2, is created to meet all the above requirements.

EIS measurements were carried out with the Hioki BT4560 instrument, which can force and measure a current flowing into the battery and measure the voltage at the battery terminals. Through the current and voltage measurements, it estimates the impedance of the battery. Specifically, the instrument uses the following root mean square values for the forcing current for different impedance ranges: 150 mA for impedances up to 30 Ω , 50 mA for impedances up to 300 m Ω and 5 mA for impedances up to 3 Ω . This impedance meter allows to measure the battery impedance with stimulus frequencies from 10 mHz to 1 kHz. Since battery impedances are small, the meter uses a four-contact measurement to limit contact and cable resistances. Battery discharging relies on the electronic load Zketch EBD-A20H. This device allows a predefined discharge current to be set up to 20 A. Like the impedance meter, the electronic load has four terminals: two to measure the voltage and two more to impose the discharge current. The developed experimental setup also has a switch system to physically disconnect the electronic load during measurement. This complexity of configuration is necessary for the impedance meter to work properly. A dedicated microcontroller manages the switch system. Each switch has a contact resistance of approximately 100 m Ω . However, this resistance does not affect the discharge current because, as described above, the electronic load has four terminals, allowing the switches' resistance to be compensated. Since battery impedances are small, it is necessary to adopt effective strategies to limit the influence of parasitic phenomena. In detail, the following precautions were used:

- initially, before each test, the geometry of the experimental setup (the position of all the adopted instruments and the connecting cables) was fixed;
- subsequently, whenever the battery under test was changed, the calibration procedure of the impedance meter was carried out. The procedure consists of connecting the meter clips in short circuit and in open circuit to compensate for internal parasitic phenomena within the instrument itself. This operation makes it possible to bring into account, and thus reduce, residual components due to offset and measurement environment;

182 • finally, as shown in Figure 3, both the impedance meter and the electronic load are connected to
 183 the battery under test via a four-terminal connection. This reduces the influence of contact
 184 resistance and connecting cables on the impedance measurement result.

185 Furthermore, to estimate the measurements quality carried out with the implemented experimental
 186 setup, the ISO ENV 13005 “Guide to the Expression of Measurement Uncertainty (GUM)” [21] was
 187 followed for the estimation of measurement uncertainties using a Type B evaluation. Specifically, the
 188 Hioki BT4560 exhibited a measurement uncertainty lower than 5 mΩ for both the real and imaginary
 189 parts of the impedance, while the measurements conducted with the Zketech EBD-A20H had an
 190 uncertainty of 0.6 mAh on the capacity measurement.

191 The whole system is managed by a control software developed in the Python environment. The
 192 system requests as input the discharge current, the minimum battery voltage ($V_{cut-off}$), the
 193 number of impedance measurements, and related stimulus frequencies. The system also outputs the
 194 discharge current, voltage data, and impedance measured by the impedance meter. The battery
 195 under test is placed in a climatic chamber, where the temperature is kept constant at 20 °C. The
 196 chamber uses a Peltier cell, provided by the European Thermodynamic Limited company, to increase
 197 or decrease the temperature. According to the manufacturer datasheet, the accuracy of the set
 198 temperature is ±1 °C. The chamber is provided with its own temperature sensor placed inside the
 199 chamber. Eleven LFP batteries with a nominal capacity of 600 mAh are used as devices under test
 200 (DUT); each battery is identified with an identification code. Table 3 shows the main characteristics of
 201 the adopted batteries.

Battery Characteristics	
Manufacturer	O'Cell New Energy Technology CO., LTD
Model	IFR14500EC
Type	Cylindrica
Nominal Capacity	600 mAh
Nominal Voltage	3.2 V
Charge Voltage	3.65 V
Charge Current	300 mA (0.5C)
Cut-off Current	30 mA (0.05C)
Cut-off Voltage	2.0 V

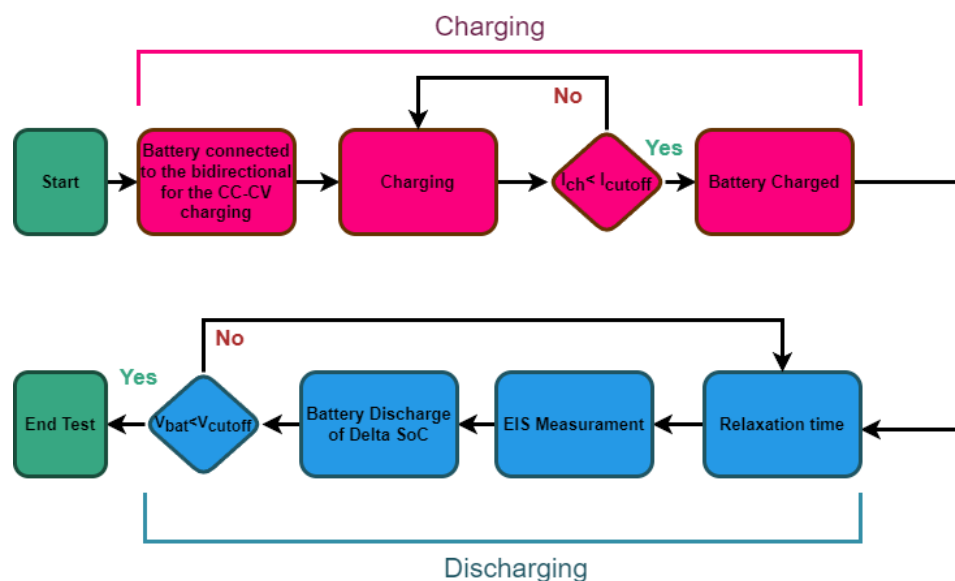
202 Table 3: Characteristics of the adopted batteries.

203 Measurement protocol

204 Before performing the test, the battery's actual capacity is measured with the Coulomb Counting
 205 method. To do it, the battery is placed inside the climatic chamber set to the test temperature. After
 206 10 hours of rest, the battery is deemed to have reached the test temperature. At this stage, the
 207 battery is ready for the capacity test, where it is fully charged with the current and manufacture
 208 specifications (reported in Table 3) and then discharged with a constant current, which is the same
 209 current used for the following test 0.5 C. At the end of this test, the electronic load gives the real
 210 capacity of the battery that could be slightly different from the nominal capacity because of
 211 inaccuracy in the manufacturing process or materials and calendar aging. The battery's Capacity
 212 evaluation is performed once before the discharging-impedance tests because the battery

213 manufacturer predicts a cell cycle life higher than 2000 cycles in test conditions harsher than the one
214 performed here.

215 Figure 3 shows the flowchart to obtain a complete test. The protocol is divided into two parts: the
216 battery charging (highlighted in magenta) and the battery discharging (highlighted in blue). The
217 battery charging is performed with the so-called CC-CV methodology. This methodology consists of
218 first a constant charging current (CC) imposed until the battery voltage reaches the maximum voltage
219 (V_{charge}), then the voltage is kept constant and equal to the maximum voltage (CV). When the
220 current reaches the threshold value ($I_{cut-off}$), the battery is considered fully charged, and the
221 following discharge test and impedance measurement can be carried out. Batteries, after absorbing
222 or delivering current need a certain time to allow electrochemical recombination processes to occur.
223 This time is referred to as relaxation time. When the battery is charged, the switches are opened,
224 and the electronic load is disconnected. After the relaxation time, equal to one hour, the impedance
225 measurement is performed with all the desired frequencies, and the data are saved to a file on the
226 control PC. After the impedance measurement, the electronic load is reconnected to the battery and
227 discharged of the expected amount of energy (indicated with the ΔSoC parameter) with a C-rate of
228 0.5 C. This process is iterated for each of the evaluated SoC values, with a 15 minute relaxation time
229 between the discharge and measurement operations. The battery voltage is constantly monitored. If
230 the voltage becomes lower than the minimum battery voltage ($V_{cut-off}$), the test stops. The values
231 of V_{charge} , $I_{cut-off}$, and $V_{cut-off}$, are provided by the battery manufacturer and reported in Table
232 3, SoC is defined as the ratio of the amount of energy remaining in the battery to the total energy the
233 battery is capable of delivering at a given SoH. This implies that to know the SoC, the total capacity of
234 the battery should be known. Sometimes, for simplicity, nominal capacity is used, but the energy
235 that the battery is capable of delivering depends on multiple factors such as discharge current, cell
236 temperature, health state, etc. Therefore, within the acquired dataset, there is a discharge test at the
237 test current and temperature without EIS measurements being taken. A Coulomb Counting method,
238 performed directly by the electronic load, gives the actual cell capacity. The ΔSoC parameter is
239 calculated by the battery capacity divided by the total number of SoC levels.



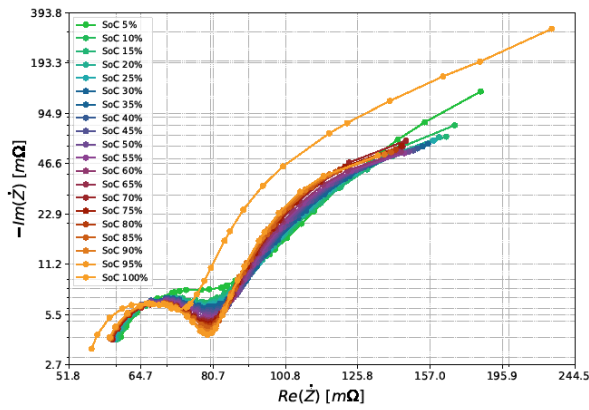
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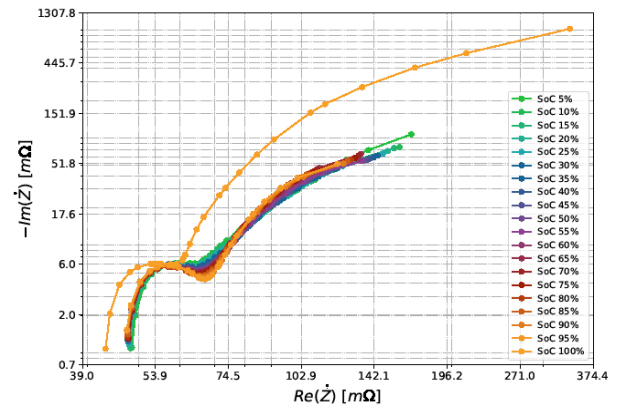
Figure 3: Adopted procedure to obtain the experimental dataset.

242 **Technical Validation**

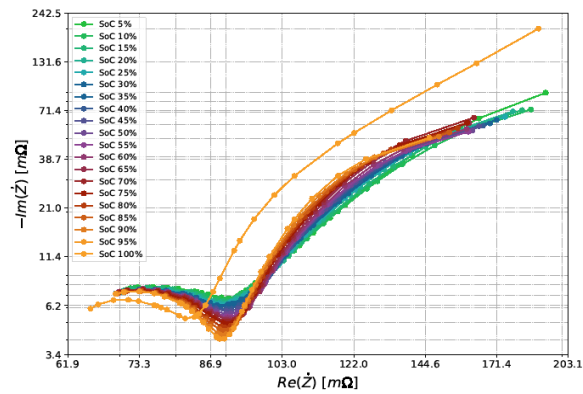
243 EIS data are generally represented using Nyquist plots. Analysis using the Nyquist plot allows the
 244 identification of outliers in the measurements. The dataset's impedance values were shown through
 245 the real component $Re(\hat{Z})$ and the imaginary component $Im(\hat{Z})$. Nyquist plots were created for
 246 each SoC level to examine how the LFP batteries' impedance behaved. The measured impedances for
 247 the eleven batteries are shown in Figure 4.



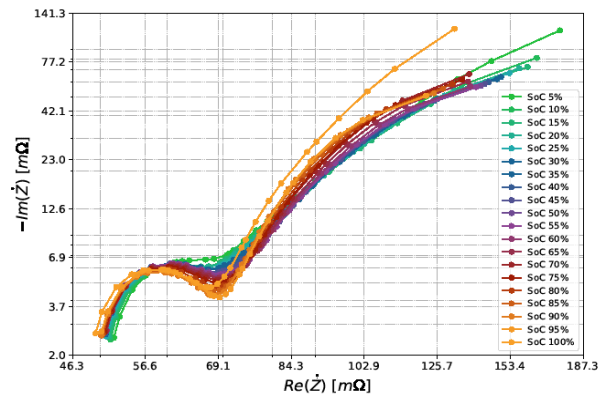
a) First battery (B01)



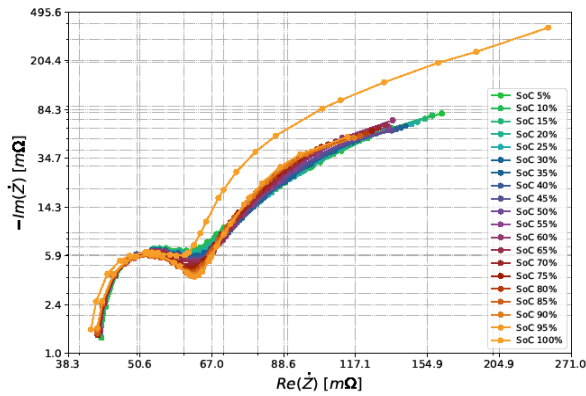
b) Second battery (B02)



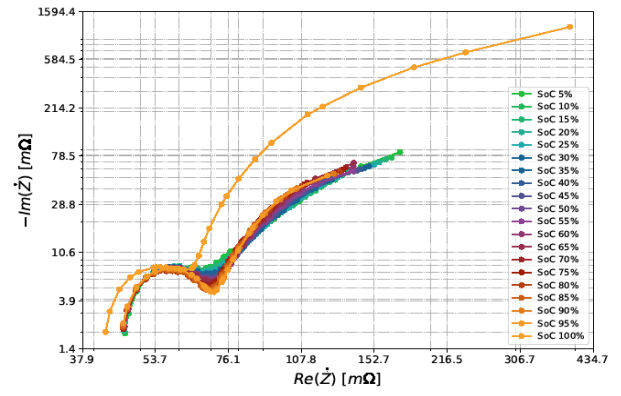
c) Third battery (B03)



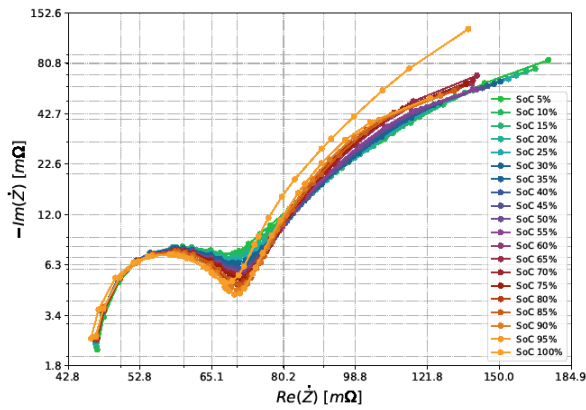
d) Fourth battery (B04)



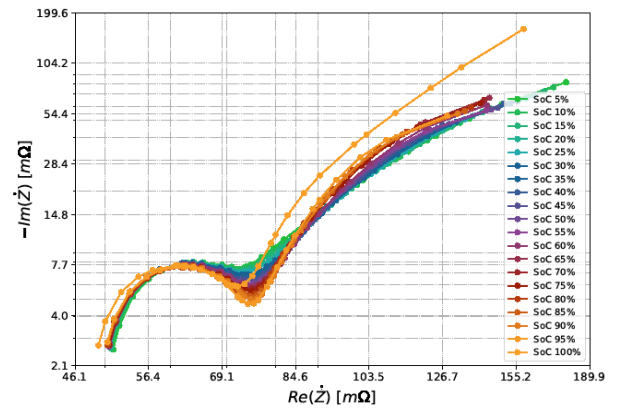
e) Fifth battery (B05)



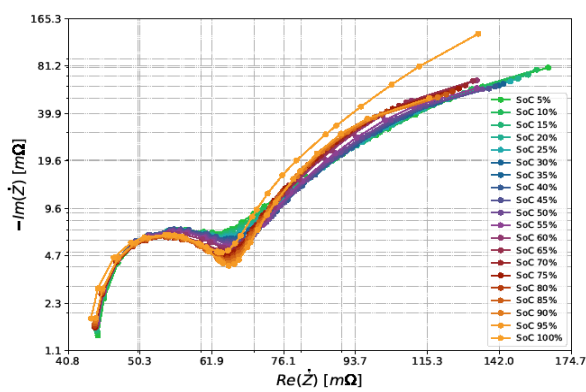
f) Sixth battery (B06)



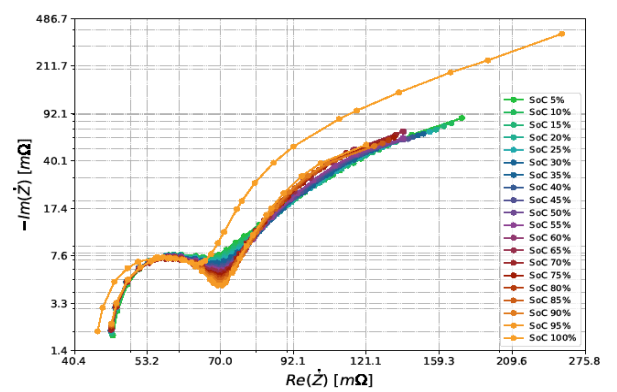
g) Seventh battery (B07)



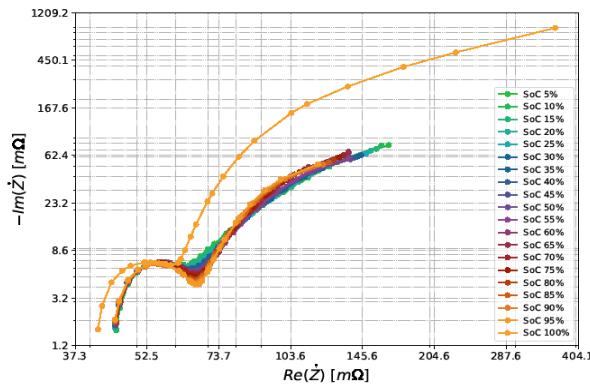
h) Eighth battery (B08)



i) Ninth battery (B09)



j) Tenth battery (B10)



k) Eleventh battery (B11)

248 Figure 4: Nyquist plots of the eleven batteries from the first test. The twenty acquired SoC levels are shown for each
249 subfigure.

250 As can be seen in the figures, the measurement with SoC equal to 100% has a very different behavior
251 from the other measurements. This can be explained by analyzing what is happening at the
252 electrochemical level. At high SoC values (close to 100%), the concentration of lithium ions in the
253 positive electrode (cathode) is low [22]. Without lithium ions, the characteristic diffusion times tend
254 to be infinite, and the impedance is higher than other SoCs. Overall, considering the chemistry, type,
255 and capacity of the cells analysed, the results of impedance measurements shown by Nyquist plots
256 are consistent with those described by the scientific community [23]. The impedance range for
257 eleven batteries, as shown in at all SoC levels except SoC 100%, shows similar behavior. However,
258 minor differences are expected due to each battery's unique nature.

259 Impedance Spectra Validation

260 The electrochemical impedance spectroscopy is valid under the small signal hypothesis, or, in other
261 words, when the conditions of linearity, causality, stability, and finiteness are verified. In order to
262 prove these conditions, the Kramer-Kronigs relations and their particular application named “Lin—
263 KK” described in [24], [25] are used. In order to apply this method, it is necessary to have a
264 mathematical model of the battery's impedance behavior as the frequency varies. The model
265 estimation is performed by fitting the impedance measurements by varying the frequency with an
266 equivalent circuit model composed of a series of RC elements. An important operation is to
267 determine the number of RC elements necessary to accurately define the impedance behavior. To
268 this end, relative errors committed by the fitting operations on the real part $Re(\dot{Z})$, imaginary part
269 $Im(\dot{Z})$, and complex impedance \dot{Z} are used as a figure of merit to fix the correct number of RC
270 elements. In detail, equations (1)-(3) shows the mathematical definitions of the above relative errors,
271 where N indicates the number of analyzed frequencies, f_i is the i-th considered frequency,
272 $Re(\dot{Z}(f_i))$ and $Im(\dot{Z}(f_i))$ represents the measured real and imaginary part for the i-th specific
273 frequency, $\hat{Re}(\dot{Z}(f_i))$ and $\hat{Im}(\dot{Z}(f_i))$ represent the estimated real and imaginary part for the i-th
274 specific frequency and $|\dot{Z}|$ is the module of the impedance for the i-th specific frequency.

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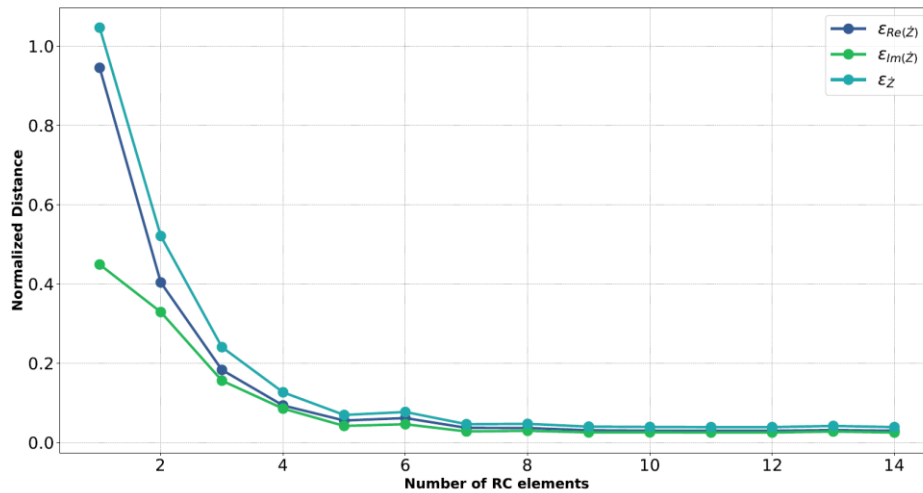
$$\varepsilon_{Re(\dot{Z})} = \sqrt{\sum_{i=1}^N (\Delta_{Re}(f_i))^2} = \sqrt{\sum_{i=1}^N \left(\frac{Re(\dot{Z}(f_i)) - Re(\dot{Z}_S(f_i))}{|\dot{Z}(f_i)|} \right)^2} \quad (1)$$

$$\varepsilon_{Im(\dot{Z})} = \sqrt{\sum_{i=1}^N (\Delta_{Im}(f_i))^2} = \sqrt{\sum_{i=1}^N \left(\frac{Im(\dot{Z}(f_i)) - Im(\dot{Z}_S(f_i))}{|\dot{Z}(f_i)|} \right)^2} \quad (2)$$

$$\varepsilon_{\dot{Z}} = \sqrt{\sum_{i=1}^N (\Delta_{Re}(f_i))^2 + \sum_{i=1}^N (\Delta_{Im}(f_i))^2} = \sqrt{\sum_{i=1}^N \left(\frac{Re(\dot{Z}(f_i)) - Re(\dot{Z}_S(f_i))}{|\dot{Z}(f_i)|} \right)^2 + \sum_{i=1}^N \left(\frac{Im(\dot{Z}(f_i)) - Im(\dot{Z}_S(f_i))}{|\dot{Z}(f_i)|} \right)^2} \quad (3)$$

279

280 Figure 4 shows the obtained relative errors by varying the number of considered RC elements for the
281 model estimation operation.



282

283 Figure 5: Committed relative errors among the measured and the estimated impedances.

284 As an example, the figure reports the analysis to only one impedance spectra, in particular, the
285 spectra of battery B11 at 20 % of SoC. In particular, we choose to adopt 10 RC elements since from
286 this point on all the relative errors show a very flat behavior with respect to the number of RC
287 elements.

288 Once a mathematical model of the battery impedance is created as the frequency changes, it is
289 possible to apply the “Lin—KK” method for the measurement validation. The Lin-KK method is a
290 specific method based on the Kramer Kronig relations and proposed by [25] for the impedance
291 spectra measurement validation. Figure 6 reports the obtained results for the Lin-KK method. It is
292 possible to note that the estimation performances are always less than 0.3 % for the estimation of
293 both the real and imaginary parts. This confirms the reliability of the conducted analysis.

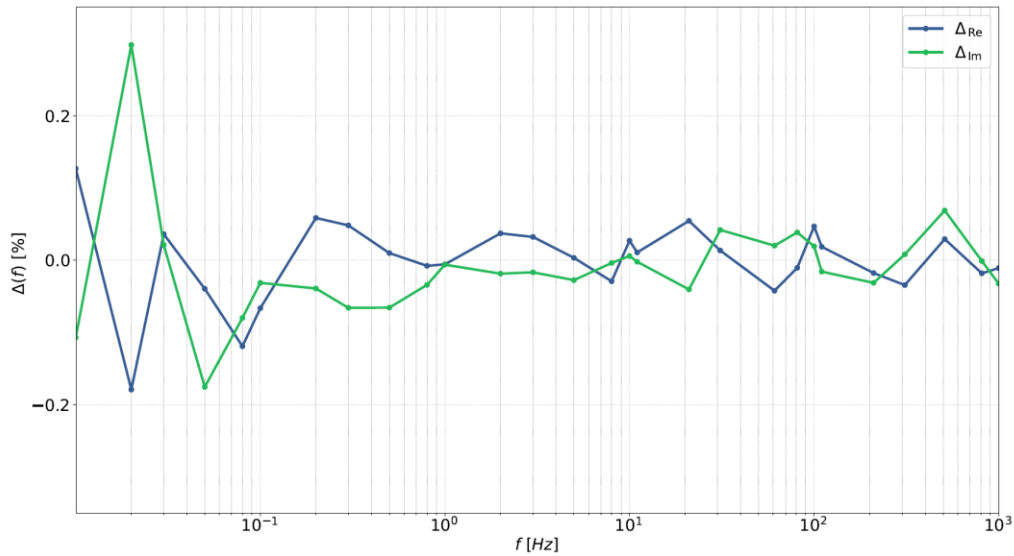


Figure 6: Obtained performance with the Lin-KK method validation.

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297 Machine Learning Validation

298 Next, the consistency and reliability of the conducted measurements were verified using a Machine
 299 Learning (ML) method, as also shown in [9], [26]. The validity test involves the use of the dataset to
 300 estimate the SoC. The problem is addressed by a 10-class classification model, where each class
 301 represents a 10 % SoC interval. Relevant features, such as real and imaginary component values,
 302 were extracted from the dataset, and the data was then split into training and test subsets. The
 303 training subset was used to train a ML algorithm based on Support Vector Machines (SVM). The test
 304 subset was then used to test the trained model. The ML algorithms' hyperparameters were tuned
 305 using the grid search approach. Standard performance measures, such as Accuracy (Acc), Matthews
 306 Correlation Coefficient (MCC), Precision (P), Recall (R) and F1 score (F1), were used to evaluate the
 307 effectiveness of the ML model. The exact metric definitions are shown in Table 4.

Acronym	Description	Formula
TP	True Positive is a result where the model correctly predicts the positive class.	/
TN	True Negative is an outcome where the model correctly predicts the negative class.	/
FP	False Positive is an outcome where the model incorrectly predicts the positive class.	/
FN	False Negative is an outcome where the model incorrectly predicts the negative class.	/
Acc	Accuracy is a measure of how well a classification model	$Acc = \frac{TP + TN}{TP + FP + TN + FN}$

	correctly predicts both the positive and negative classes.	
MCC	The Matthews Correlation Coefficient is a measure that takes into account all confusion matrix values and is particularly useful when dealing with imbalanced datasets.	$MCC = \frac{(TP \cdot TN) - (FP \cdot FN)}{\sqrt{(TP + FP) \cdot (TN + FN) \cdot (TN + FP) \cdot (TN + FN)}}$
P	Precision is a measure of the model's ability to make accurate positive class predictions.	$Prec = \frac{TP}{TP + FP}$
R	Recall measures the model's ability to identify all relevant instances of the positive class.	$Rec = \frac{TP}{TP + FN}$
F1	The F1 Score is the harmonic mean of precision and recall. It provides a balance between the two, making it useful when there is a trade-off between these two metrics	$F1 = 2 \cdot \frac{Prec \cdot Rec}{Prec + Rec}$

308 Table 4: Definition of metrics for two-class classification problems. They were used in a multiclass problem by averaging the
309 unweighted mean by label.

310 These metrics are mainly defined for binary classification and have been used in a multiclass problem
311 by averaging the unweighted mean per label. Cross-validation approaches, such as k-fold cross-
312 validation, were used to ensure the robustness and generalisability of the ML model. To reduce the
313 effects of potential data bias or over-fitting, this procedure involves iteratively training and evaluating
314 the models on multiple subsets of the dataset. The predictions from the ML models and the obtained
315 performance further supported the applicability of the dataset for EIS research in the context of SoC
316 estimation. The evaluated hyperparameters and the obtained performances are shown in Table 5,
317 and the confusion matrix in Figure 7.

Model	Hyper-parameters	Tested Values	Acc	MCC	P	R	F1
SVM	Regularization parameter (C)	0.1, 1, 10, 100, 1000,10000	0.89	0.88	0.92	0.89	0.89
	Kernel	Linear, polu, rbf, sigmoid					
	Kernel coefficient (gamma)	1,0.1,0.01,0.001,0.0001,auto					
	Decision function shape	one-vs-one, one-vs-rest					

318 Table 5: Summary table showing the hyperparameters tested (in bold, those that gave the best performance) and,
319 consequently, the average of the metrics obtained for the best model.

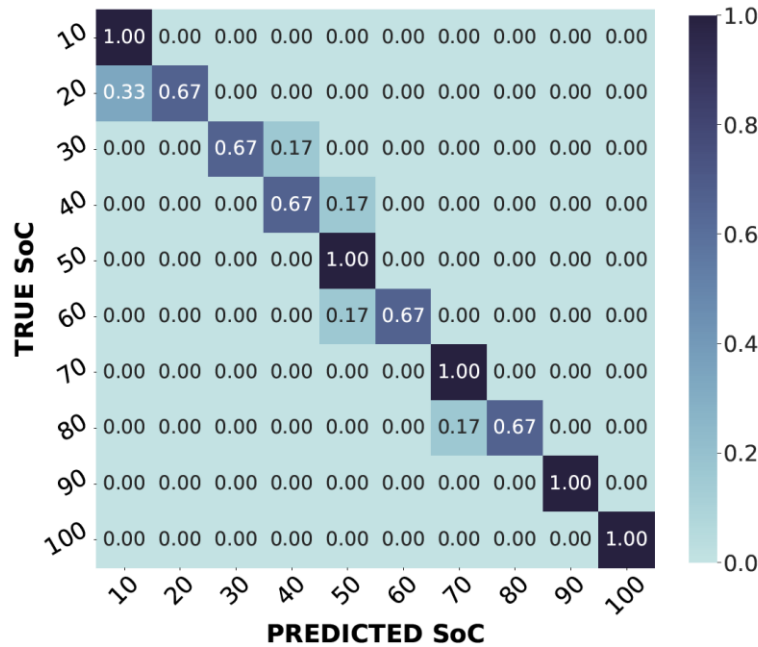


Figure 7 : Confusion matrix of the best obtained model.

320

321

322 Machine Learning use case

323 In this Section, we explore the development of a Machine Learning model to classify different states
 324 of charge (SoC) in batteries based on time-series data. The dataset comprises multiple CSV files, each
 325 corresponding to a specific SoC level. These files contain critical input features, namely frequency,
 326 real component, and imaginary component, which are extracted to form the basis of the
 327 classification task. To ensure that each feature set is accurately labelled according to its respective
 328 SoC level, we load and process the data in Python. For each CSV file, the input features are
 329 systematically extracted, and a unique SoC label is assigned to each set of features based on the
 330 source file. These labelled feature sets are then concatenated into a comprehensive dataset,
 331 preserving the order and integrity of both the features and their corresponding labels. Once the
 332 dataset is consolidated, it is divided into training and testing subsets, adhering to a common practice
 333 of allocating approximately 70-80% of the data for training and the remaining 20-30% for testing.
 334 This split allows us to build and evaluate a robust classification model. Among the various Machine
 335 Learning algorithms, we choose a suitable approach, such as Random Forest, Support Vector
 336 Machine (SVM), or a Neural Network-based model, to perform the classification task. The model is
 337 trained using the training data, and predictions are made on the test data. The accuracy of these
 338 predictions is then compared with the actual SoC labels from the test set, enabling us to assess the
 339 model's performance. To evaluate the effectiveness of the model, we calculate key metrics including
 340 accuracy, precision, recall, and F1-score. Furthermore, to optimize the model's performance, we
 341 employ parameter tuning and optimization techniques. This step is crucial in identifying the most
 342 appropriate set of parameters for the chosen Machine Learning algorithm, ultimately leading to



343 more accurate and reliable predictions of the SoC levels. The outcome of this study contributes to
344 advancing the application of machine learning in battery management systems by providing a
345 systematic approach to SoC classification based on time-series data.

346 **Code availability**

347 An example of using the dataset to estimate the SoC is publicly available [27]. In the repository, it is
348 possible to find a Python language project that allows the visualization of the measurements
349 contained in the dataset through Nyquist plots and shows how to train ML algorithms to solve the
350 problem of SoC estimation through classification models.

351 **LIMITATIONS**

352 Not applicable

353 **ETHICS STATEMENT**

354 The authors have read and follow the [ethical requirements](#) for publication in Data in Brief and
355 confirming that the current work does not involve human subjects, animal experiments, or any data
356 collected from social media platforms.

357 **CRedit AUTHOR STATEMENT**

358 Conceptualization; H.M., C.B., M.V., F.M., M.M., L.F.;

359 Methodology: H.M., C.B., M.V., F.M., M.M., L.F.;

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364 All authors have read and agreed to the published version of the manuscript.

365 **ACKNOWLEDGEMENTS**

366 This study was partially carried out within the MOST –Sustainable Mobility Center and re- ceived
367 funding from the European Union Next-GenerationEU (PIANO NAZIONALE DI RIPRESA E RESILIENZA
368 (PNRR) –MISSIONE 4 COMPONENTE 2, INVESTIMENTO 1.4 –D.D. 1033 17/06/2022, CNO 0 0 0 0 023).
369 This manuscript reflects only the authors' views and opinions, neither the Euro- pean Union nor the
370 European Commission can be considered responsible for them. Other fund- ing included the Sensors
371 and Measurements Methods for aware BATteries MANagement in unin- terruptable safety-critical
372 and mission-critical applications –BATMAN (CUP H53D230 0 060 0 0 06) funded by EU in
373 NextGenerationEU plan through the Italian Bando Prin 2022 - D.D. 104 del 02-02-2022 by MUR.

374



375 DECLARATION OF COMPETING INTERESTS

376 The authors declare that they have no known competing financial interests or personal relationships
377 that could have appeared to influence the work reported in this paper.

378

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